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Approved for use 10/31/2002. OMB 0651-0031

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Docket Number (Optional)

09820.114

In re Application of:

Application No.: 09/373,272

Filed: 08/12/1999

For: **TRANSGENIC PLANTS AS ALTERNATIVE SOURCE OF LIGNO-  
CELLULOSIC- DEGRADING ENZYMES**

The owner\*, WISCONSIN AGRICULTURAL RESEARCH FOUNDATION of 100% percent interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application, which would extend beyond the expiration date of the full statutory term defined in 35 U.S.C. 154 to 156 and 173, as presently shortened by any terminal disclaimer, of prior Patent No. 5,981,835. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

2. ☒ The undersigned is an attorney or agent of record.



Signature

JOSEPH LEONE  
REGISTRATION NO. 37,17019 FEB 2002  
Date

Typed or printed name

- ☒ Terminal disclaimer fee under 37 CFR 1.20(d) included.

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: WISCONSIN ALUMNI RESEARCH FOUNDATION

Application No./Patent No.: 09/373,272 Filed/Issue Date: 08/12/1999

Entitled: TRANSGENIC PLANTS AS ALTERNATIVE SOURCE OF

LIGNOCELLULOSIC-DEGRADING, a ASSIGNEE

(Name of Assignee)

ENZYMES

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 8863, Frame 787, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

19 FEB 2002  
Date

JOSEPH LEONE  
REGISTRATION NO. 37,170  
Typed or printed name  
Joseph Leone  
Signature  
ATTORNEY OF RECORD  
Title